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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Group Art Unit: 2163

**Brad Buxton, John Stapleton, Trajan King,  
Claire Butkus, Dan Alger, Frank Hosea,  
Dave Nash, John Garvin, and Matt Egen**

Application No. 09/781,937

Filed: February 12, 2001

For: **TELECOMMUNICATIONS RFP, AUCTION, AND  
SPENDING ANALYSIS METHOD**

Date: July 20, 2001

Examiner:

**INFORMATION DISCLOSURE STATEMENT**

**TO THE COMMISSIONER FOR PATENTS:**

Pursuant to their duty of disclosure, applicants enclose copies of the documents listed on the accompanying Form PTO-1449.


1. This information disclosure statement is being submitted:

- a. ☒ Within three months of the filing date of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office action on the merits; or before the mailing of a first Office action after the filing of a request for continued examination under 37 CFR 1.114. (No statement under 37 CFR 1.97(e) is required.)
- b. ☐ After the period set forth in paragraph 1a, but before the mailing date of either a final action, a notice of allowance, or an action that otherwise closes prosecution in the application. (Check box i. or ii.)
  - i. ☐ A \$180.00 information disclosure statement submission fee set forth in 37 CFR 1.17(p) is enclosed.
  - ii. ☐ A statement specified by 37 CFR 1.97(e) is set forth below.

- c. ☐ After the mailing date of a final action or notice of allowance and on or before payment of an issue fee. A statement specified by 37 CFR 1.97(e) is set forth below. Enclosed is a \$180.00 information disclosure statement processing fee set forth in 37 CFR 1.17(p).
2. ☐ The attorney or agent signing below hereby states that:
- ☐ each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or
- ☐ no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.
3. ☐ Applicant(s) set forth below concise explanations of the relevance of each document not in the English language and/or selected document(s) in the English language.

Respectfully submitted,

**Applied Research Technologies, Inc.**

By   
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Attorney Docket No. 44892/3:1

FORM PTO-1449  
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
44892/3:1

APPLICATION NO.

INFORMATION DISCLOSURE CITATION  
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Brad Buxton et al.

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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6 0 7 8 9 0 7	6/2000	Lamm	705	40	
	AB	6 0 7 3 1 0 8	6/2000	Peterson	705	8	
	AC	6 0 7 2 4 9 4	6/2000	Driskell et al.	345	356	
	AD	6 0 5 8 1 7 0	5/2000	Jagadish et al.	379	114	
	AE	6 0 5 2 4 4 7	4/2000	Golden et al.	379	114	
	AF	6 0 5 2 4 4 9	4/2000	Chavez, Jr.	379	114	
	AG	6 0 4 7 2 6 7	4/2000	Owens et al.	705	34	
	AH	6 0 3 2 1 3 1	2/2000	Vogel	705	30	
	AI	6 0 2 3 5 0 0	2/2000	Kearns et al.	379	114	
	AJ	6 0 0 9 1 5 4	12/1999	Rieken et al.	379	114	
	AK	5 9 3 0 7 7 3	7/1999	Crooks et al.	705	30	

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL							
	AM							
	AN							
	AO							
	AP							

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

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AA	5 8 8 4 2 8 4	3/1999	Peters et al.	705	30	
AB	5 8 4 2 1 7 4	11/1998	Yanor	705	1	
AC	5 8 0 2 5 0 2	9/1998	Gell et al.	705	37	
AD	5 6 5 9 6 0 1	8/1997	Cheslog	455	406	
AE	5 3 2 5 2 9 0	6/1994	Cauffman et al.	364	401	
AF	5 2 8 7 2 7 0	2/1994	Hardy et al.	364	408	
AG	5 0 2 7 3 8 8	6/1991	Bradshaw et al.	379	112	
AH						
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AK						

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